

## UBS (Switzerland)

### INVESTMENT BANKING

In April 2009, **Textron** issued shares for a total of US\$217.4 million. The proceeds can be used for general corporate purposes. UBS, part of the 10-bank syndicate, underwrote US\$7.7 million.

In April 2009, **Textron** issued US\$540 million in four-year bonds. The proceeds can be used for general corporate purposes. UBS, part of the 10-bank syndicate, underwrote US\$14.6million.

In November 2009, **Lockheed Martin** issued bonds for a total of US\$1.5 billion in two tranches. The first tranche was for US\$900 million in ten-year bonds and the second tranche was for US\$600 million in thirtyyear bonds. The proceeds were used for general corporate purposes. UBS contributed an estimated US\$62.5 million to the 12-bank issuing syndicate.

In May 2010, **Lockheed Martin** issued bonds due in 2040 for a total value of US\$728.2 million. These notes were issued in exchange for a portion of the company's outstanding bonds that would mature between 2016 and 2036. UBS was one of the six dealer managers involved in the bonds issuance.

### ASSET MANAGEMENT

On 30 April 2010, UBS Hana Asset Management, of which UBS owns 51%, owned or managed 3.93% of the shares of **Poongsan Corporation** valued at US\$26.8 million.

On 31 December 2010, UBS owned or managed 1.89% of the shares of **Textron** valued at US\$123.6 million.

*Source: "Worldwide Investments in Clustermunition; a shared responsibility" (May 2011 update), by Netwerkwerk Vlaanderen and IKV Pax Christi.*